

Serial No. 09/682,652

1700
500 0420
Docket No. RD-28048



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James C. Carnahan et al.

: Group Art Unit:

Serial No.: 09/682,652

: Examiner: Quag

Filed: 10/2/01

For METHODS AND SYSTEMS FOR SEALED
PARALLEL REACTIONS

INFORMATION DISCLOSURE STATEMENT

- RECEIVED
NOV 08 2001
TO 1700
- ☒ 1 **PTO-1449 Pursuant to 37 CFR 1.97(b)**
[within 3 months of filing or prior to 1st Office Action] N/C
 - ☐ 2 **Statement Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance] N/C
 - ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance] \$240.00
 - ☐ 4 **Petition, Statement & Petition Fee Payment Pursuant to 37 CFR 1.97(d)**
[before issue fee payment] \$130.00

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required Statement made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

☐ 10 37 CFR §1.97(d): [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in item 14 below; and

b) The required Statement is stated in item 11 below.

☐ 11 Statement under 37 CFR 1.97(e)

☐ 12 Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ 13 No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

9/27/01
Date

Respectfully submitted,

Noreen C. Johnson

Attorney - Noreen C. Johnson

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date of Deposit

Type or Print Name

Signature

FORM PTO-1449
(REV. 7-80)
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

RD-28,048

SERIAL NO.

09/682,652

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--

LIST OF ITEMS

(Use several sheets if necessary)

Applicant

James C. Carnahan et al.

Filing Date

10/2/01

Group

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA 6 2 5 8 9 7 1	07/10/01	Schattenmann			
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES No
	AL					
	AM					
	AN					
	AO					
	AP					

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AR	
AS	
AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449
(REV. 7-80)
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
RD-28848

SERIAL NO.
09/682,652

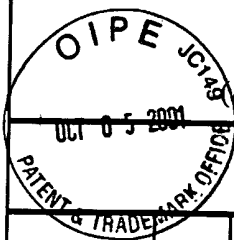
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Group



Use several sheets if necessary

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AU

Spectroscopic techniques for identification of organosilicon compounds, Smith et al, Dow Corning Corp., Midland, MI, Anal. Chem. (1959), 31, pp. 1174-9

AV

Infrared, Raman, near-infrared, and ultraviolet spectroscopy, Lipp et al., Anal. Res. Dep., Dow Corning Cor[., Midland MI, USA, Chem. Anal. (N.Y.) (1991), 112 (Anal. Chem. Silicones), pp. 305-45

AW

"A Silicate Substitution Route in Organosilicon Compounds", Goodwin et al., 1990 American Chemical Society, pp.251-263

AX

"Uber innerkomplexe Brenzcatechinate vierwertiger Elemente", Rosenheim et al., (Z. Anorg. Allg. Chem.) 1931, 196, pp. 160-176

AY

"Synthesis of pentacoordinate silicon complexes from SiO₂", Lane et al., (Nature) 1991, 353, pp. 642-644

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"Direct Synthesis of Tetraalkoxysilanes from Silica by Reaction with Dialkyl Carbonates", Ono et al., Akiyama and Suzuki (Chem. Mater.) 1993, 5, pp. 442-447

BU

"Direct synthesis of tetramethoxysilane from rice hull ash by reaction with dimethyl carbonate", Ono et al., (Inorg. Chim. Acta) 1993, 207, pp. 259261

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